

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

| OMB APPROVAL                                   |           |
|--|-----------|
| OMB Number:                                    | 3235-0287 |
| Estimated average burden hours per response... | 0.5       |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|  |  |  |  |  |  |   |  |  |
|--|--|--|--|--|--|---|--|--|
| 1. Name and Address of Reporting Person *<br>Gilbert Jeffrey M                               |  |  | 2. Issuer Name and Ticker or Trading Symbol<br>Summit Wireless Technologies, Inc. [WISA] |  |  | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br><input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) |  |  |
| (Last) (First) (Middle)<br>C/O SUMMIT WIRELESS TECHNOLOGIES, INC., 6840 VIA DEL ORO STE. 280 |  |  | 3. Date of Earliest Transaction (Month/Day/Year)<br>07/27/2020                           |  |  |   |  |  |
| (Street)<br>SAN JOSE, CA 95119   |  |  | 4. If Amendment, Date Original Filed(Month/Day/Year)                                     |  |  | 6. Individual or Joint/Group Filing (Check Applicable Line)<br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person  |  |  |
| (City) (State) (Zip)   |  |  | <b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b>  |  |  |   |  |  |

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            |       | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|-------|---|--|---|
|                                 |                                      |  | Code                           | V | Amount  | (A) or (D) | Price |   |  |   |
| Common Stock                    | 07/27/2020                           |  | A                              |   | 2,750<br>(1)  | A          | \$ 0  | 5,250   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |       |
|--|--|--------------------------------------|--|--------------------------------|---|---|-----|--|-----------------|---|--|--|--|--|-------|
|  |  |                                      |  | Code                           | V | (A)   | (D) | Date Exercisable   | Expiration Date |   |  |  |  |  | Title |
| Restricted Stock Units                     | (2)  | 07/27/2020                           |  | A                              |   | 10,000<br>(3)   |     | (4)  | (4)             | Common Stock  | 10,000                                     | \$ 0   | 10,000   | D  |       |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| Gilbert Jeffrey M<br>C/O SUMMIT WIRELESS TECHNOLOGIES, INC.<br>6840 VIA DEL ORO STE. 280<br>SAN JOSE, CA 95119 | X             |           |         |       |

## Signatures

|  |  |                     |
|--|--|---------------------|
| /s/ Dr. Jeffery M. Gilbert                     |  | 07/27/2020          |
| <small>**Signature of Reporting Person</small> |  | <small>Date</small> |

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).  
\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- 2,750 shares (the "2018 LTIP Shares") of common stock, par value \$0.0001 per share, of the issuer (the "Common Stock") were received as compensation for the reporting person's
- (1) service as a member of the issuer's board of directors pursuant to the issuer's 2018 Long-Term Stock Incentive Plan. The 2018 LTIP Shares are scheduled to vest in equal installments on the first, second and third anniversaries of August 15, 2020, so long as the reporting person remains in the service of the issuer on each such anniversary.
  - (2) Each restricted stock unit ("RSU") represents the right to receive one share of Common Stock, subject to the vesting terms of such RSU and the approval of the issuer's 2020 Stock Incentive Plan by the issuer's stockholders.
  - (3) 10,000 RSUs of the issuer were received as compensation for the reporting person's service as a member of the issuer's board of directors pursuant to the issuer's 2020 Stock Incentive Plan.
  - (4) The RSUs are scheduled to vest in equal installments on the first, second and third anniversaries of August 15, 2020, so long as the reporting person remains in the service of the issuer on each such anniversary.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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